



Sector
#3

PATENT
Attorney Docket No. 202406

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Nie, et al.

Group Art Unit: 1765

Serial No. 09/405,653

Examiner: Not Assigned

Filed: September 24, 1999

For: WATER-SOLUBLE LUMINESCENT
QUANTUM DOTS AND
BIOMOLECULAR CONJUGATES
THEREOF AND RELATED
COMPOSITIONS AND METHOD OF
USE

Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Applicant hereby responds to the Notice To File Missing Parts of Application dated October 27, 1999.

The items checked below are appropriate:

1. Status of Applicant

This application is on behalf of ☐ other than a small entity or ☒ a small entity.

The verified statement ☐ is attached or ☒ was filed on September 24, 1999.

2. Fees

Pursuant to 37 C.F.R. § 1.17(e), the surcharge for filing this Response is for ☐ other than a small entity or ☒ a small entity.

Fee Due \$65.00

CERTIFICATE OF MAILING

I hereby certify that this document (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: December 15, 1999

In re Appln. of
Serial No.

3. Documents Submitted Herewith

- ☒ Executed Declaration of inventor(s).
- ☐ Verified Statement claiming small entity status.
- ☐ Sequence Listing (and accompanying Preliminary Amendment, Verification, and diskette).
- ☐ Verified English translation of application.
- ☒ Copy of the Notice to File Missing Parts of Application.
- ☒ Other: Return Post Card

4. Extension Of Term

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136 apply.

- ☐ Applicant petitions for a one-month extension of time under 37 C.F.R. § 1.136, the fee for which is \$110.00 .
- ☒ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

Extension fee due with this request: \$0.00

5. Total Fee Due

The total fee due is:

Surcharge	\$65.00
Extension Fee (if any)	\$0.00

Total Fee Due: \$65.00

6. Fee Payment

- ☒ Attached is a check in the sum of \$65.00.
- ☐ Charge Account No. 12-1216 the sum of \$. A duplicate of this transmittal is attached.

In re Appln. of
Serial No.

7. Fee Deficiency

☒ If any additional fee is required in connection with this communication, charge
Account No. 12-1216. A duplicate of this transmittal is attached.

Date: December 15, 1999



Salim A. Hasan, Reg. No. 38,175
One of the Attorneys for Applicant(s)
LEYDIG, VOIT & MAYER, LTD.
Two Prudential Plaza, Suite 4900
180 North Stetson
Chicago, Illinois 60601-6780
(312) 616-5600 (telephone)
(312) 616-5700 (facsimile)